

**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF OHIO  
WESTERN DIVISION**

In re: DePUY ORTHOPAEDICS, INC.,  
ASR™ HIP IMPLANT PRODUCTS  
LIABILITY LITIGATION

MDL Docket No. 1:10-md-2197

Judge David A. Katz

**CASE MANAGEMENT ORDER NO. 9**

(Plaintiff Fact Sheet)

## This Document Applies to All Cases

## **I. SCOPE OF THE ORDER**

Pursuant to agreement of counsel in this MDL, this Order shall apply to all actions currently pending in MDL No. 2197, all future actions transferred to MDL No. 2197, and all future actions direct-filed in MDL No. 2197.

## II. PLAINTIFF FACT SHEETS

1. The Court hereby approves with the consent of the parties the “Plaintiff Fact Sheet” (PFS) attached as Exhibit A and the medical records authorizations attached as Exhibit B.

2. Each Plaintiff in MDL No. 2197 who has undergone revision surgery shall complete and serve a completed PFS.

3. In addition, each Plaintiff in MDL No. 2197 who has undergone revision surgery shall complete and serve medical record authorizations for all health care providers identified in the PFS in the form attached hereto as Exhibit B. The PFS and authorizations shall be served electronically on Plaintiffs' Liaison Counsel and Defendants' Liaison Counsel. The PFS shall be served electronically on Plaintiffs'

Liaison Counsel at: serviceofPFS@toledolaw.com. Service on Plaintiffs' Liaison Counsel need not include the documents responsive to the requests in the PFS. Service of the PFS, authorizations and responsive documents on Defendants' Liaison Counsel shall be in an electronic format on CD via first class or overnight mail, addressed to:

ASR Plaintiff Fact Sheet  
c/o Kristen Mayer, Esq.  
Tucker Ellis & West LLP  
1150 Huntington Bldg.  
925 Euclid Avenue  
Cleveland, OH 44115

4. For cases currently pending before the Court in MDL No. 2197, the PFS and authorizations for each Plaintiff in MDL No. 2197 who has undergone revision surgery shall be served no later than 90 days from the date of this Order. Plaintiff must also provide along with the PFS all responsive non-privileged documents in his or her possession requested in the PFS.

5. For all cases transferred to or direct-filed in MDL No. 2197 after the date of this Order, the PFS and authorizations for each Plaintiff in MDL No. 2197 who has undergone revision surgery shall be served no later than 90 days from the date a case is transferred to or direct-filed in the MDL to complete and serve the PFS and authorizations. A case shall be deemed transferred to the MDL either: (a) on the date that the certified copy of the Conditional Transfer Order issued by the Judicial Panel on Multidistrict Litigation ("JPML") is entered in the docket of this Court, or (b) where transfer is contested, the date of transfer in any subsequent order from the JPML. Defendants' liaison counsel will notify each new plaintiff's counsel of his/her obligation under this paragraph promptly.

6. Each Plaintiff in MDL No. 2197 who undergoes revision surgery at some point after filing suit shall complete and serve a PFS and authorizations as set forth above no later than 120 days from the date of the revision surgery. Plaintiff must also provide along with the PFS all responsive non-privileged documents in his or her possession requested in the PFS.

7. A Plaintiff who is not obligated to complete a PFS may nevertheless voluntarily choose to complete a PFS and produce the required documents and authorizations.

8. Nothing in the PFS shall be deemed to limit the scope of inquiry at depositions and admissibility of evidence at trial. The scope of inquiry at depositions shall remain governed by the Federal Rules of Civil Procedure. The admissibility of information in the PFS shall be governed by the Federal Rules and no objections are waived by virtue of any fact sheet response.

9. The parties may agree to an extension of the above time limits for service of the PFS. Consideration should be given to requests for extensions to stagger PFS deadlines where a single law firm has a large number due on or near the same dates. If the parties cannot agree on reasonable extensions of time, such party may apply to the Court for such relief upon a showing of good cause.

---

DATE

---

DAVID A. KATZ, United States District Judge

# **EXHIBIT A**

**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF OHIO**

IN RE: DePUY ORTHOPAEDICS, INC., ASR HIP IMPLANT PRODUCTS LIABILITY LITIGATION	) ) ) ) ) ) )	MDL Docket No. 2197  HON. DAVID A. KATZ, U.S.D.J.
--	---------------------------------	---

**PLAINTIFF FACT SHEET (Long Form)**

Please provide the following information for each individual on whose behalf a claim is being made. If you are completing this Plaintiff Fact Sheet in a representative capacity, please respond to the remaining questions with respect to the person who had the DePuy ASR™ Hip Resurfacing System and the ASR™ XL Acetabular System (the “Device”) implanted. Whether you are completing this Plaintiff Fact Sheet for yourself or for someone else, please assume that “You” means the person who had the Device implanted. In filling out this form please use the following definition: “healthcare provider” means any hospital, clinic, center, physician’s office, infirmary, medical or diagnostic laboratory, or other facility that provides medical care or advice, and any pharmacy, x-ray department, radiology department, laboratory, physical therapist or physical therapy department, rehabilitation specialist, or other persons or entities involved in the diagnosis, care and/or treatment of you.

In filling out any section or sub-section of this form, please submit additional sheets as necessary to provide complete information. In addition, if you learn that any of your responses are incomplete or incorrect at any time, please supplement your responses to provide that information as soon as you become aware of this information. This form requests information and documents about your medical condition for a specified period of time. However, defendants reserve the right to request additional information and information for a time period dating further back on a case by case basis, at which time the parties will meet and confer as the issue arises.

In completing this Plaintiff Fact Sheet, you are under oath and must provide information that is true and correct to the best of your knowledge, information and belief. If the response to any question is that the person completing this Plaintiff Fact Sheet does not know or does not recall the information requested, that response should be entered in the appropriate location(s). You may and should consult with your attorney if you have any questions regarding the completion of this form.<sup>1</sup>

---

<sup>1</sup> This Plaintiff Fact Sheet constitutes discovery responses subject to the Federal Rules of Civil Procedure, JCCP, and New Jersey Rules of the Court.

**I. CASE INFORMATION**

1. Name of person completing this form: \_\_\_\_\_
2. Name of person on whose behalf a claim is being made: \_\_\_\_\_
3. Please state the following for the civil action that you filed:
  - a. Case caption: \_\_\_\_\_
  - b. Docket Number: \_\_\_\_\_
  - c. Court in which action was originally filed: \_\_\_\_\_
  - d. Name, address, telephone number, fax number and e-mail address of principal attorney representing you:  
Name: \_\_\_\_\_  
Firm: \_\_\_\_\_  
Address: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Fax Number: \_\_\_\_\_  
E-mail Address: \_\_\_\_\_
4. If you are completing this Plaintiff Fact Sheet in a representative capacity (e.g., on behalf of the estate of a deceased person), please complete the following:
  - a. Your name, including other names you have used or by which you have been known and dates you used those names:  
\_\_\_\_\_
  - b. Current Address: \_\_\_\_\_
  - c. In what capacity are you representing the individual or estate: \_\_\_\_\_
  - d. If you were appointed as a representative by a court, state the:  
Court which appointed you: \_\_\_\_\_  
Date of appointment: \_\_\_\_\_
  - e. What is your relationship to the individual you represent: \_\_\_\_\_  
\_\_\_\_\_
  - f. If you represent a decedent's estate, state:  
Date of Death: \_\_\_\_\_

**THE REST OF THIS PLAINTIFF FACT SHEET REQUESTS INFORMATION ABOUT  
THE PERSON WHO WAS IMPLANTED WITH THE DEVICE**

**II. CORE INFORMATION**

1. Type of ASR Prosthesis: \_\_\_\_\_  
Side of body: right left both (please circle one)

Complete the questions in this section for each implantation surgery involving an ASR product.

2. Product Code/Lot Code for each Device (please attach a copy of the stickers shown on the operative report) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
3. Dates of Implantation: \_\_\_\_\_
4. Name and Address of Implanting Surgeon(s): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
5. Name and Address of Hospital or Clinic where surgery(ies) performed: \_\_\_\_\_  
\_\_\_\_\_
6. If the Device(s) has been removed, provide the date on which it was removed: \_\_\_\_\_  
\_\_\_\_\_
7. Name and Address of Surgeon(s) who removed the Device(s): \_\_\_\_\_  
\_\_\_\_\_
8. Name and Address of Hospital or Clinic where surgery(ies) performed: \_\_\_\_\_  
\_\_\_\_\_
9. Name of the Manufacturer and size of the replacement device, if any: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10. a. Did you pay for your revision surgery and all related care?

Yes \_\_\_ No \_\_\_ In Part \_\_\_

- b. If No or In Part, state who or who else paid for the revision surgery:

\_\_\_\_\_  
\_\_\_\_\_

Provide the approximate amount paid by each person and entity and identify each person and insurance carrier, and for carriers, provide the name, address, and policy number.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- c. Did you pay for your initial surgery and all related care and all related care?

Yes \_\_\_ No \_\_\_ In Part \_\_\_

- d. If No, or In Part, state who or who else paid for the surgery and all related care:

\_\_\_\_\_  
\_\_\_\_\_

Provide the approximate amount paid by each person and entity and identify each person and insurance carrier, and for carriers, provide the name, address, and policy number.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11. Were any of the components of the Device surgically removed? Yes \_\_\_ No \_\_\_

- a. If Yes, what is the present location of the removed components of the Device?

\_\_\_\_\_



12. If you have not had any components of your Device removed surgically, do you presently plan to have any of the components removed ? Yes \_\_\_\_\_ No \_\_\_\_\_ undecided \_\_\_\_\_

If Yes, please state:

The date scheduled for the surgery to remove/replace the Devices: \_\_\_\_\_

\_\_\_\_\_

The name of the surgeon: \_\_\_\_\_

\_\_\_\_\_

The name and address of the hospital where the surgery will be performed: \_\_\_\_\_

\_\_\_\_\_

The reason for the surgery: \_\_\_\_\_

\_\_\_\_\_

13. Has any doctor ever told you that you need to have any components of your Device removed? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide name and address of each such doctor:

\_\_\_\_\_

\_\_\_\_\_

14. Has any doctor told you that your medical condition prevents you from having any components of your Device removed ? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide name and address of each such doctor:

\_\_\_\_\_

\_\_\_\_\_

15. Have you received any other treatment or testing related to your Device after learning about the recall?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state:

Date	Facility Name	Address and Telephone Number	Reason	Results

### III. PERSONAL INFORMATION

1. Name (first, middle name or initial, last): \_\_\_\_\_
2. Maiden or other names used and dates you used those names:  
\_\_\_\_\_
3. Current address and date when you began living at this address:  
\_\_\_\_\_
4. Identify each address at which you resided for the period from five years before your first hip surgery up to the present and the dates you resided at each one.

Address	Dates of Residence

5. Social Security Number: \_\_\_\_\_
6. Date and place of birth: \_\_\_\_\_
7. Current marital status: \_\_\_\_\_

8. If married, please provide the following information:

Date of marriage: \_\_\_\_\_

Name of spouse: \_\_\_\_\_

Date and place of birth of spouse: \_\_\_\_\_

9. If married, has your spouse filed a loss of consortium or other claim in this action?

Yes \_\_\_\_\_ No \_\_\_\_\_

10. Name(s) of former spouse(s), date(s) of marriage(s) and dates the marriage(s) were terminated, and the nature of the termination (i.e., death, divorce):

\_\_\_\_\_  
\_\_\_\_\_

11. If you have children, list each child's name and date of birth.

\_\_\_\_\_  
\_\_\_\_\_

12. Identify all schools you attended, starting with high school:

Name of School	Address	Dates of Attendance	Degree Awarded	Major or Primary Field

13. Are you currently employed? Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, please identify your current employer with name, address and telephone number and your position there: \_\_\_\_\_

\_\_\_\_\_

If not, did you leave your last job for a medical reason? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, describe why you left:

---

14. For the period of time from five years before your had your first hip surgery, until the present, please identify all of your employers, with name, address and telephone number, your employment dates, your position there, and your reason for leaving:

<b>Name of Employer</b>	<b>Address and Telephone Number</b>	<b>Dates of Employment</b>	<b>Describe Your Position or Duties and Specify if Job Required Manual Labor</b>	<b>Reason for Leaving</b>

15. For the period from five years before your first hip surgery until the present, please indicate if you have actively participated in any sports:

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state:

<b>Type of Sport</b>	<b>Dates/Years played</b>	<b>Approximate number of hours you played per week</b>	<b>Approximate number of hours you practiced per week</b>

16. For the period from five years before your first hip surgery until the present, please indicate if you have regularly exercised:

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state:

<b>Type of Exercise</b>	<b>Dates/Years Exercised</b>	<b>Approximate Number of hours You exercised per week</b>	<b>Period of times during which you performed this exercise (month/year)</b>

17. Have you ever served in any branch of the military? Yes \_\_\_\_\_ No \_\_\_\_\_

Branch and dates of service: \_\_\_\_\_

If Yes, were you ever discharged for any reason relating to your medical or physical condition? \_\_\_\_\_

If Yes, state what that condition was: \_\_\_\_\_

Have you ever been rejected from military service for any reason relating to your medical or physical condition? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, state what that condition was: \_\_\_\_\_

18. If you have Medicare, please state your HICN number: \_\_\_\_\_

19. For the period from five years before your first hip surgery to the present, have you been on or applied for workers' compensation, social security, and/or state or federal disability benefits? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, then as to each application, separately state the following and attach any documents you have which relate to the application and/or award of benefits:

a. Date (or year) of application: \_\_\_\_\_

b. Type of benefits: \_\_\_\_\_

c. Nature of claimed injury/disability: \_\_\_\_\_

d. Period of disability: \_\_\_\_\_

e. Amount awarded: \_\_\_\_\_

f. Basis of your claim: \_\_\_\_\_

g. Was claim denied? Yes \_\_\_\_\_ No \_\_\_\_\_

h. To what agency or company did you submit your application: \_\_\_\_\_

i. Claim/docket number, if applicable: \_\_\_\_\_

20. Have you ever been involved in an accident or event, in which or as a result of which you suffered any personal injuries to your legs, hips or pelvic area? Yes\_\_\_\_ No\_\_\_\_

If Yes, please provide the following information and attach copies of any accident reports:

<b>Place and Date of Accident</b>	<b>Circumstances, Nature, Location, and Extent of Injury</b>	<b>Nature of Activity at Time of Injury</b>	<b>Names and Addresses of Treating Physician(s)</b>

21. a. Have you ever filed a lawsuit or made a claim against a healthcare provider or pharmaceutical company? Yes \_\_\_\_\_ No \_\_\_\_\_

- b. Have you ever filed a lawsuit or made a claim against anyone related to any injury to your hip, pelvis or legs? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes to either (a) or (b) above, please provide the following information and attach copies of all pleadings, releases or settlement agreements and deposition transcripts you have:

<b>Party You Sued/Made Claim Against</b>	<b>Court in Which Suit Filed/Claim Made</b>	<b>Case/Claim Number</b>	<b>Attorney Who Represented You</b>	<b>Nature of Claim and Injury</b>

22. Have you ever been convicted of, or pled guilty to, a felony within the past ten years?  
Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state the charge to which you plead guilty or which you were convicted of, as well as the court where the action was pending: \_\_\_\_\_

23. Have you or your spouse ever declared bankruptcy since the date of your original hip implantation surgery? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state when and in what court you filed your bankruptcy petition, including the docket number of the petition and the orders of discharge: \_\_\_\_\_

24. Have you or your spouse (if he/she is pursuing a loss of consortium claim) received any money from a third party in exchange for an assignment of any portion of your claim or recovery in this lawsuit, so that the payer or assignee has decision making authority over the terms of any settlement or other resolution of your claim or has lien rights (excluding liens by healthcare providers) against any funds generated by the resolution of your claim?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state:

The name and address of the third party with whom you have entered into such a contract. \_\_\_\_\_

25. Since you received your ASR hip prosthesis, have you publicly posted a comment, message or blog entry on a public internet site (e.g. no password required for access) in which you have discussed or described your ASR experience, injury, disability, pain or physical complaints related to the ASR hip? (You should include non-password protected postings on public social network site including Twitter, Facebook, MySpace, Linked In, or "blogs" where the general public may post ASR related comments).

Yes \_\_\_\_\_ No \_\_\_\_\_

If so, please tell us where and when you made such public posts and the substance of what was posted. Do not include postings that were provided exclusively to your attorney or his/her representative.

---

---

---

---

---



#### IV. HEALTHCARE PROVIDERS

**FOR ALL QUESTIONS IN THIS SECTION, MEN DO NOT HAVE TO PROVIDE DETAILS AS TO PROSTATE CONDITIONS, AND WOMEN DO NOT HAVE TO PROVIDE INFORMATION AS TO BIRTH CONTROL OR REPRODUCTIVE ISSUES (UNLESS THERE IS A CLAIM RELATED TO CHILD BEARING, AND THEN A FULL OBSTETRICAL AND GYNECOLOGIC HISTORY NEEDS TO BE PROVIDED).**

1. Identify each doctor or healthcare provider (including but not limited to family/primary care physicians, orthopedic surgeons, physical therapists, chiropractors, practitioners of the healing arts) whom you have seen for medical care and treatment for the period five years before your first hip surgery to the present. (except for treatment for any orthopedic condition or complaint about your hips, legs or pelvis, in which case information should be provided for the past ten years.)

Name and Specialty	Address and Telephone Number	Approx Dates/Years of Visits	Reason

2. Identify each hospital, clinic, surgery center, healthcare facility, physical therapy or rehabilitation centers where you have received medical treatment (in-patient, out-patient, or emergency room visit) for the period five years before your first hip surgery to the present. (except for treatment for any orthopedic condition or complaint about your hips, legs or pelvis, in which case information should be provided for the past ten years.)

Name	Address	Admission Date(s)	Reason	Type of Surgery (if applicable)	Name of Surgeon (if applicable)

3. Identify each facility at which radiographs (x-rays, ultrasounds, MRIs, CT scans) were taken in the last 10 years of your hips, pelvis or legs.

<b>Name</b>	<b>Address and Telephone Number</b>	<b>Approx Date Taken</b>	<b>Reason</b>

4. Identify each laboratory at which your blood was tested in the last 10 years for blood levels of any metals including cobalt and chromium.

<b>Name</b>	<b>Address and Telephone Number</b>	<b>Approx Date Taken</b>	<b>Reason</b>	<b>Results (if known by you)</b>

5. Identify each pharmacy, drugstore or any other facility or supplier (including but not limited to mail order pharmacies) where you ever received any prescription medication for the period five years before your first hip surgery to the present. (except for medicine for any orthopedic condition or complaint about your hips, legs or pelvis, in which case information should be provided for the past ten years.)

<b>Name of Pharmacy/Supplier</b>	<b>Address and Telephone Number of Pharmacy/Supplier</b>	<b>Approx Dates/Years You Used Pharmacy/Supplier</b>

**V. MEDICAL BACKGROUND**

1. Current Height: \_\_\_\_\_

2. Please state your weight at the following times:

a. Current: \_\_\_\_\_

b. Time of implant: \_\_\_\_\_

c. Time of revision surgery (if any): \_\_\_\_\_

3. Smoking History

a. Have you ever smoked cigarettes? Yes \_\_\_\_\_ No \_\_\_\_\_

State brand(s) smoked: \_\_\_\_\_

State amount smoked: \_\_\_\_\_ packs per day for \_\_\_\_\_ years, during the years  
\_\_\_\_\_ to \_\_\_\_\_.

b. Have you ever smoked cigars or pipe tobacco or used smokeless tobacco?

Yes \_\_\_\_\_ No \_\_\_\_\_

State brand(s) smoked or chewed: \_\_\_\_\_

State amount smoked/utilized: \_\_\_\_\_ cigars/pipes/smokeless tobacco per day for  
\_\_\_\_\_ years, during the years \_\_\_\_\_ to \_\_\_\_\_.

4. Alcohol/Drug Use

a. For the period of time five years before your first hip surgery up to the present, set forth the amount and type(s) of alcoholic beverages you consume(d) on a weekly/monthly/yearly basis on average and the type. If the amount has materially changed over this period of time, please describe/explain.

---

---

---

- b. For the period of time five years before your first hip surgery up to the present, have you taken cocaine, crack, heroin, LSD, or amphetamines?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, identify which drug(s), amount and period of use : \_\_\_\_\_

5. Allergies and Allergic Reactions

- a. Have you ever experienced an allergic reaction to any food, medication, jewelry, or metal?

Yes \_\_\_\_\_ No \_\_\_\_\_ If Yes, please state the following:

<b>Food, Medication, Jewelry or Metal</b>	<b>When Allergy Diagnosed</b>	<b>Symptoms of Allergy</b>	<b>Health Care Provider Who Diagnosed Allergy</b>	<b>Treatment Received, if any</b>

6. Other Conditions

- a. To the best of your knowledge, have you ever experienced or been diagnosed with any of the following conditions from the time beginning five years before your first hip surgery to the present? Please select Yes or No for each condition. For each condition for which you answer Yes, please provide the additional information requested in the table following this chart:

<b>Condition Experienced or Diagnosed</b>	<b>Yes</b>	<b>No</b>	<b>Don't Know</b>
1. Arthritis (e.g., osteoarthritis, traumatic arthritis, rheumatoid arthritis, degenerative arthritis)			
2. Neuromuscular compromise or vascular deficiency			
3. Poor bone quality (e.g., osteoporosis)			
4. Charcot's or Paget's disease			
5. Cancer (including blood cancers such as leukemia)			

<b>Condition Experienced or Diagnosed</b>	<b>Yes</b>	<b>No</b>	<b>Don't Know</b>
6. Allergy, such as hay fever, asthma, eczema, hives, sensitivity to drugs or other substances, including allergic reactions to metal			
7. Obesity			
8. Alcohol or drug addiction			
9. Any pathological condition of the acetabulum (e.g., arthrokatachysis)			
10. Diabetes			
11. Infections lasting longer than a week or occurring more frequently than monthly			
12. Tumors or Pseudo-tumors			
13. Periarticular calcification or ossification			
14. Disabilities of joints (knees and ankles)			
15. Osteolysis			
16. Congenital dysplasia of the hip or subluxation or dislocation of the hip joint			
17. Peripheral neuropathies or nerve damage			
18. Acetabular perforation			
19. Femoral shaft perforation, fissure, or fracture			
20. Trochanteric fracture			
21. ALVAL			

- b. For each condition for which you answered Yes in the previous chart, please provide the information requested below:

<b>Condition You Experienced</b>	<b>Approximate Date of Onset</b>	<b>Name, Address and Telephone Number of Treating Physician (if any)</b>	<b>Treatment Received</b>

**VI. MEDICATIONS**

**FOR ALL QUESTIONS IN THIS SECTION, MEN DO NOT HAVE TO PROVIDE DETAILS AS TO PROSTATE CONDITIONS, AND WOMEN DO NOT HAVE TO PROVIDE INFORMATION AS TO BIRTH CONTROL OR REPRODUCTIVE ISSUES (UNLESS THERE IS A CLAIM RELATED TO CHILD BEARING, AND THEN A FULL OBSTETRICAL AND GYNECOLOGIC HISTORY NEEDS TO BE PROVIDED).**

1. List all of the medications (prescription and over the counter) you currently take.

<b>Medication</b>	<b>Dose/ Frequency/Dates of Use</b>	<b>Physician Ordering</b>	<b>Pharmacy Dispensing</b>	<b>Purpose</b>

2. To the best of your recollection, are there any prescription medications other than those identified that you have taken on a regular basis for any duration of more than two months for the period five years before your first hip surgery to the present? (except for treatment for any orthopedic condition or complaint about your hips, legs or pelvis, in which case information should be provided for the past ten years.)

Yes \_\_\_\_\_ No \_\_\_\_\_

- a. If Yes, please identify the medication(s), the doctor(s) who prescribed it, the approximate dates/years you have taken this medication, and why it was given to you:

<b>Medication</b>	<b>Dose/ Frequency/Dates of Use</b>	<b>Physician Ordering</b>	<b>Pharmacy Dispensing</b>	<b>Purpose</b>

**VII. IMPLANT/REMOVAL**

1. Describe the condition for which the Device was implanted:

---

---

---

- a. If this condition the result of an on-the-job injury? Yes \_\_\_\_ No \_\_\_\_

If Yes, please state:

Place of employment at the time: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone number: \_\_\_\_\_

Job description/duties at the time: \_\_\_\_\_

Nature of accident: \_\_\_\_\_

2. Before the implantation of the Device, did you receive non-surgical treatment for your hip? Yes \_\_\_\_\_ No \_\_\_\_\_

- a. State the period during which you received non-surgical treatment:

\_\_\_\_\_

- b. State the nature of the non-surgical treatment (*e.g.*, rest, physical therapy, medication, injections): \_\_\_\_\_

\_\_\_\_\_

- c. State the name and address of all doctors or health care providers involved in your non-surgical treatment:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

3. Did you see, read or rely upon any documents or other information from DePuy in making your decision to have the Device implanted? Yes \_\_\_ No \_\_\_

a. If Yes, identify each document/source of information. \_\_\_\_\_

\_\_\_\_\_

b. When did you read the document/receive the information? \_\_\_\_\_

\_\_\_\_\_

c. How did you obtain the document or information? \_\_\_\_\_

\_\_\_\_\_

d. Do you have the document or written information in your possession? If so, please produce a copy of it together with your answers to the Plaintiff Fact Sheet. Yes \_\_\_\_\_ No \_\_\_\_\_ I don't know \_\_\_\_\_

If you no longer have the document or written information in your possession, please describe the information that you received to the best of your ability:

\_\_\_\_\_

\_\_\_\_\_

4. Were you given any verbal or written instructions, warnings or other information regarding the implantation of the Device? Yes \_\_\_ No \_\_\_ I don't know \_\_\_

a. If Yes, when did you receive the information? \_\_\_\_\_

b. Who gave you the information? \_\_\_\_\_

c. Do you have the written information in your possession? If so, please produce a copy of it together with your answers to the Plaintiff Fact Sheet. Yes \_\_\_\_\_ No \_\_\_\_\_ I don't know \_\_\_\_\_

d. Please describe the oral instructions/warnings you received to the best of your ability:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_



5. a. When did you learn that the Device had been recalled? \_\_\_\_\_  
\_\_\_\_\_

b. How did you learn about the recall? \_\_\_\_\_  
\_\_\_\_\_

c. Did you discuss the recall with any physicians? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please identify the physicians, the address, the approximate date(s) of said discussion(s), and the approximate number of times you discussed this with him/her/them: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

d. Did you contact the Broadspire call center regarding the recall? Yes \_\_\_ No \_\_\_

If Yes, please provide the following information:

i. Did you receive a claim number? Yes \_\_\_ No \_\_\_

If Yes, what is your claim number? \_\_\_\_\_

ii. Did you receive any expense reimbursement through this process?  
Yes \_\_\_ No \_\_\_

iii. Do you want to receive copies, at your expense (advanced by your attorney for the fair and ordinary costs of copying), of the medical records that Broadspire obtained about you pursuant to your authorization (if any)?

Yes \_\_\_ No \_\_\_

6. Have you had any communications with any present or former employee of DePuy, Johnson & Johnson or any ASR distributor or sales representative concerning the Device or matters in any way related to this lawsuit? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, for each, please state:

<b>Date of Communication</b>	<b>Name of Person with Whom You Communicated</b>	<b>Mode of Communication (In Person, By Phone, By Email, By Mail)</b>	<b>Do you have a writing or recording? (IF SO, PLEASE ATTACH)</b>

If the communication was by phone or in-person, please tell us what was said:

---



---



---

### **VIII. INJURIES & DAMAGES**

1. Are you claiming any physical injuries or illness as a result of the Device?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please describe in detail the following:

- a. The physical injuries or illness claimed and when the symptoms began: \_\_\_\_\_

---



---



---



---



---



---



---



---



---



---



---



---

- b. Are those injuries or illnesses continuing? Yes \_\_\_\_\_ No \_\_\_\_\_
- c. Provide the approximate date of treatment for each condition, and identify the name and address of each health care provider that you have seen for these problems:

<b>Condition You Experienced</b>	<b>Approximate Date of Treatment</b>	<b>Name, Address and Telephone Number of Health Care Provider (if any)</b>

- d. Have you ever been hospitalized as a result of any of these conditions?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide the following information:

- i. Approximate date(s) of hospital admission: \_\_\_\_\_
- ii. Approximate date(s) of discharge: \_\_\_\_\_
- iii. Hospital names(s) and address(es): \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

2. Do you claim any psychological or psychiatric injury (other than garden variety emotional distress) as a consequence of having the Device? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state the following as it pertains to your treatment for any psychiatric and/or psychological condition(s):

<b>Condition</b>	<b>Name and Address of Mental Healthcare Provider (if any)</b>	<b>Approx. Dates/Years of Treatment/Visits (if any)</b>

3. Are you making a claim for lost wages or lost earning capacity?

Yes \_\_\_\_\_ No \_\_\_\_\_

- a. If Yes, describe your claim and attach your W-2 forms for the past (5) years. Your description should include the total amount of time (and amount of income) which you have lost or will lose from work as a result of any condition which you claim or believe was caused by the Device, and an explanation of how those amounts were calculated:

---



---



---



---

- b. If you claim a loss of earnings, state your earned income from work for the following years:

YEAR	INCOME
2010	\$
2009	\$
2008	\$
2007	\$
2006	\$
2005	\$

#### **IX. MEDICAL AND OUT-OF-POCKET EXPENSES**

1. State the amount of medical expenses, by provider, which you have incurred, including amounts billed to insurers and other third party payors, which are related to any condition which you claim or believe was caused by the Device for which you seek recovery in this action:

Name and Address of Provider	Dates of Treatment	Amount of Medical Expenses
		\$
		\$
		\$
		\$
		\$

For any expenses claimed above, have they been reimbursed by any third party, including but not limited to Broadspire? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, identify which expenses, the amount reimbursed and the date reimbursed.

---

---

**X. DECEASED INDIVIDUALS AND AUTOPSY INFORMATION**

1. Are you filling this out on behalf of an individual who is deceased?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please state the following from the Death Certificate of the individual, and attach a copy of the letter of administration:

(NOTE: In lieu of the following, please attach a copy of the death certificate)

Date of death: \_\_\_\_\_

Place of death (city, state and country): \_\_\_\_\_

Facility or location where death occurred: \_\_\_\_\_

Name of physician who signed death certificate: \_\_\_\_\_

Cause of death: \_\_\_\_\_

\_\_\_\_\_

2. Are you filling this out on behalf of an individual who is deceased and on whom an autopsy was performed?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please attach a copy of the autopsy report.

**XI. FACT WITNESSES**

Please identify all persons whom you believe possess information concerning your injury(ies) and current medical conditions, other than your healthcare providers, and please state their name, address, and relationship to you:

Name:

Address:

Relationship to you:

Name:

Address:

Relationship to you:

Name:

Address:

Relationship to you:

## **XII. DOCUMENT DEMANDS**

These document requests are not intended to seek attorney client communications, or attorney work product materials. In addition, these requests do not encompass or seek information about expert witnesses or communications with and/or from experts or proposed trial exhibits or trial materials which may be subject to disclosure at a later date in accordance with subsequent Court Order or rule. Thus, if you have any of the following in your possession which is not protected as set forth above, please provide a copy of it with this Plaintiff Fact Sheet.

**REQUEST NO. 1:** All medical records from any physician, hospital or health care provider who has treated you for any injury, illness and/or disease identified in response to this Plaintiff Fact Sheet.

**REQUEST NO. 2:** All radiographs (x-rays, ultrasounds, MRIs, CT scans) that relate to the condition and injuries alleged in plaintiff's complaint, show any portion of plaintiff's hip and/or depict the Device.

**REQUEST NO. 3:** All laboratory reports and results of blood tests performed on plaintiff that show the level of cobalt and chromium ion levels in the blood.

**REQUEST NO. 4:** All medical bills for which plaintiff seeks recovery in this lawsuit, as well as all documents relating to third-party payments of medical bills.

**REQUEST NO. 5:** All records of any other expenses allegedly incurred as a result of the injuries alleged in the complaint.

**REQUEST NO. 6:** All photographs and videos of plaintiff's surgery and all photographs and videos of plaintiff which show plaintiff's condition since the date of the original implantation

**REQUEST NO. 7:** Any documents including but not limited to literature or warnings received by you from surgeons, physicians, or other health care professionals who have treated you for any condition related to the Device.

**REQUEST NO. 8:** Any documents including diaries, journals, calendars, emails, texts, postings on websites, blogs, and social media accounts (e.g. Facebook, MySpace, or Twitter) or other notes prepared by plaintiff or plaintiff's representative, other than plaintiff's attorneys, concerning DePuy, and plaintiff's physical and emotional health.

**REQUEST NO. 9:** All materials you received concerning the recall of the Device, whether created by DePuy, your health care provider, or any other third party.

**REQUEST NO. 10:** Decedent's death certificate, letter of administration, and/or autopsy report (if applicable).

**REQUEST NO. 11:** All bankruptcy petitions and orders of discharge (if applicable) for all bankruptcy claims made by you or your spouse since the date of your first hip surgery.

### **XIII. AUTHORIZATIONS**

Complete and sign the attached Authorizations.

### **XIV. VERIFICATION**

I declare under penalty of perjury that all of the information provided in this Plaintiff Fact Sheet is true and correct to the best of my knowledge upon information and belief, that I have supplied all the documents requested in part XII of this declaration, to the extent that such documents are in my possession, custody, or control, or in the possession, custody, or control of my lawyers, and that I have supplied the authorizations attached to this declaration.

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature

DC01/ 2782251.1



## **EXHIBIT B**

**LIMITED AUTHORIZATION TO DISCLOSE HEALTH INFORMATION**  
**(Pursuant to the Health Insurance Portability and Accountability Act "HIPAA" of 4/14/03)**

TO:  
Patient Name:  
DOB:  
SSN:

I, \_\_\_\_\_, hereby authorize you to release and furnish to: Drinker Biddle & Reath LLP, Tucker Ellis & West LLP, Barnes & Thornburg LLP, Nutter McClennen & Fish LLP, Skadden Arps and/or their duly assigned agents, including Record Trak, copies of the following information:

- \* All medical records, including inpatient, outpatient, and emergency room treatment, all clinical charts, reports, documents, correspondence, test results, statements, questionnaires/histories, office and doctor's handwritten notes, and records received by other physicians. Said medical records shall include all information regarding AIDS and HIV status.
- \* All autopsy, laboratory, histology, cytology, pathology, radiology, CT Scan, MRI, echocardiogram and cardiac catheterization reports.
- \* All radiology films, mammograms, myelograms, CT scans, photographs, bone scans, pathology/cytology/histology/autopsy/immunohistochemistry specimens, cardiac catheterization videos/CDs/films/reels, and echocardiogram videos.
- \* All pharmacy/prescription records including NDC numbers and drug information handouts/monographs.
- \* All billing records including all statements, itemized bills, and insurance records.

1. To my medical provider: **this authorization is being forwarded by, or on behalf of, attorneys for the defendants for the purpose of litigation. You are not authorized to discuss any aspect of the above-named person's medical history, care, treatment, diagnosis, prognosis, information revealed by or in the medical records, or any other matter bearing on his or her medical or physical condition, unless you receive an additional authorization permitting such discussion. Subject to all applicable legal objections, this restriction does not apply to discussing my medical history, care, treatment, diagnosis, prognosis, information revealed by or in the medical records, or any other matter bearing on my medical or physical condition at a deposition or trial.**

2. I understand that the information in my health record may include information relating to sexually transmitted disease, acquired immunodeficiency syndrome (AIDS), or human immunodeficiency virus (HIV). It may also include information about behavioral or mental health services, and treatment for alcohol and drug abuse.

3. I understand that I have the right to revoke this authorization at any time. I understand that if I revoke this authorization I must do so in writing and present my written revocation to the health information management department. I understand the revocation will not apply to information that has already been released in response to this authorization. I understand the revocation will not apply to my insurance company when the law provides my insurer with the right to contest a claim under my policy. Unless otherwise revoked, this authorization will expire in one year.

4. I understand that authorizing the disclosure of this health information is voluntary. I can refuse to sign this authorization. I need not sign this form in order to assure treatment. I understand I may inspect or copy the information to be used or disclosed as provided in CFR 164.524. I understand that any disclosure of information carries with it the potential for an unauthorized re-disclosure and the information may not be protected by federal confidentiality rules. If I have questions about disclosure of my health information, I can contact the releaser indicate above.

5. A notarized signature is not required. CFR 164.508. A copy of this authorization may be used in place of an original.

Print Name: \_\_\_\_\_ (plaintiff/representative)

Signature: \_\_\_\_\_ Date \_\_\_\_\_